

# Fully Deformable 3D Digital Partition Model with Topological Control

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## Abstract

The main contribution of this paper is the definition of multi-label simple points ensuring that the partition topology remains invariant during a deformation process. The definition is based on intervoxel properties, and uses the notion of collapse on cubical complexes. This work is an extension of a restricted definition that prohibits the move of intersections of boundary surfaces. A deformation process is carried out with a greedy energy minimization algorithm. A discrete area estimator is used to approach at best standard regularizers classically used in continuous energy minimizing methods. The effectiveness of our approach is illustrated by the deformation of topologically correct initial partitions of a 3D medical image to minimize its energy.

*Keywords:* Simple Point, Deformable Model, Intervoxel Boundaries, Multi-Label Image, Cubical complexes

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## 1. Introduction

Segmentation is a crucial step in any image analysis process. Over the past twenty years, energy-minimizing techniques have shown a great potential for segmentation. They combine in a single framework two terms, one expressing the fit to data, the other describing shape priors and acting as a regularizer. Furthermore, as noted by many authors, the parameter balancing the two terms acts as a scale factor, providing a very natural multiscale analysis of images. Deformable models (Kass et al., 1988), Mumford-Shah approximation

18 (Mumford and Shah, 1989), geometric or geodesic active contours and other  
19 levelset variants (Caselles et al., 1993; Malladi et al., 1995; Caselles et al., 1997;  
20 Vese and Chan, 2002), are classical variational formulation (*i.e.* continuous) of  
21 such techniques. Our objective is to propose a novel energy-minimizing model  
22 for segmenting 3D images into regions, a kind of deformable digital partition  
23 with the following specific features.

- 24 (i) It is a purely digital formulation of energy minimization, which can be  
25 solved by combinatorial algorithms. We use a simple greedy algorithm.
- 26 (ii) The standard area regularizer is mimicked in this digital setting by a  
27 discrete geometric estimator.
- 28 (iii) It encodes both region structures and the geometry of their interfaces. It  
29 may thus incorporate any kind of fit to data energy, region-based like  
30 quadratic deviation (Mumford and Shah, 1989; Chan and Vese, 2001) or  
31 contour-based like strong gradients (Kass et al., 1988).
- 32 (iv) We propose a new method to guarantee that the topology of the whole  
33 partition is preserved during the deformation process.

34 Point (i) is interesting from a fundamental point of view. Continuous vari-  
35 ational problems induce partial differential equations which are solved iter-  
36 atively. They are most often bound to get stuck in local minima, except in  
37 specific cases (Cohen and Kimmel, 1997; Chan and Vese, 2001; Ardon and Co-  
38 hen, 2006). To our knowledge, none of them are able to find the optimal image  
39 partition if more than two regions are expected. In discrete settings, the op-  
40 timal solution to the two label partitioning is computable (Greig et al., 1989).  
41 For more regions, optimization algorithms can guarantee to be no further away  
42 than two times the optimal value (Boykov et al., 2001), and scale-sets within  
43 pyramids present solutions that are experimentally very close to the optimal  
44 solution (Guigues et al., 2006; Pruvot and Brun, 2007). However, the regular-  
45 ization/shape prior term of these discrete methods is most often reduced to  
46 the number of surfels of the region boundaries, a very poor area estimator.

47 Boykov and Kolmogorov (Boykov and Kolmogorov, 2003) have proposed to  
48 enrich the neighborhood graph to get finer area estimators — in a way simi-  
49 lar in spirit to chamfer distances — but their approach is for now limited to  
50 a 26-neighborhood. We propose here a combinatorial analog of a variational  
51 formulation of image segmentation which is much closer to the continuous  
52 formulation than existing graph techniques. In the present paper, we use only  
53 greedy combinatorial optimization schemes, which entails that our model may  
54 also be stuck in local minima, but the proposed framework let us free to test  
55 more elaborate combinatorial optimization algorithm.

56 Point (ii) allows us to be closer to the classical continuous variational for-  
57 mulation of image segmentation. We indeed propose an original regularization  
58 term which uses a discrete geometric estimator for computing the area of each  
59 surfel. Its principle is to extract maximal digital straight segments to estimate  
60 the surfel normal, area being a byproduct (Lachaud and Vialard, 2003). Such  
61 estimators are known to have good convergence behavior as the resolution  
62 gets finer and finer. We get therefore a digital equivalent of continuous ac-  
63 tive surfaces minimizing their area, which is also an 3D extension of discrete  
64 deformable boundaries (Lachaud and Vialard, 2001).

65 Point (iii) is important to get a versatile segmentation tool. According to the  
66 image characteristics, it is well known that contour or region based approaches  
67 are more or less adapted. From a minimization point of view, region-based  
68 energies are generally more “convex”, thus easier to optimize (Chan and Vese,  
69 2001; Vese and Chan, 2002). Our partition model allows to mix energies defined  
70 on regions and energies defined on boundaries. To our knowledge, very few  
71 explicit or implicit variational or deformable models can do that in 3D, except  
72 perhaps the work of Pons and Boissonnat (Pons and Boissonnat, 2007), but they  
73 may not model energies depending on the inclusion between regions.

74 In this paper we focus on the last point which is mandatory for such de-  
75 formable model. Point (iv) is important in several specific image applications  
76 where the topology of anatomic components is a prior information, like atlas  
77 matching. This is even truer in 3D images, where anatomic components are

78 intertwined in a deterministic way. Preserving the topology of a two label par-  
79 tition in a discrete setting is generally done by computing and locating simple  
80 points (Bertrand, 1994). Similar tools are used in level set techniques to control  
81 topology changes (Han et al., 2003; Ségonne, 2008). For a multi-label partition,  
82 a few authors have proposed an equivalent to simple points in a discrete setting  
83 (Ségonne et al., 2005; Bazin et al., 2007). However, they are computationally  
84 too costly to be used to drive the evolution of a digital partition.

85 This paper is an extension of the work (Dupas et al., 2009), where a first  
86 notion of simple point in a partition was proposed. This first definition was  
87 enough to simulate movements of boundaries between two regions, but it for-  
88 bade movements of boundaries between three or more regions (1-dimensional  
89 boundaries). We propose here a more general definition of simple points in  
90 multi-label partitions, which we call *ML-simple points* (ML for Multi-Label).  
91 This new definition gives more freedom to the evolving partition. Updat-  
92 ing ML-simple points induces movements of surface, edges, and points be-  
93 tween regions, while preserving at all steps the initial partition topology. ML-  
94 simpleness is computable in constant time, thanks to our intervoxel encoding.  
95 ML-simpleness is sometimes a bit too restrictive and may forbid valid evolu-  
96 tion. But our experiments show that it was not a problem in our context.

97 The paper is organized as follows. Section 2 recalls standard notions of dig-  
98 ital geometry used later on. Section 3 presents the definition of ML-simpleness  
99 and proves that it implies simpleness. The ML-simpleness test derives from the  
100 definition. Section 4 describes a first digital deformable partition model that  
101 uses ML-simple points to ensure the preservation of the topology and Sect. 5  
102 shows some experiments.

## 103 **2. Preliminary Notions**

104 The first subsection recalls standard digital topology notions based on vox-  
105 els. The second subsection gives further definitions for intervoxel topology.  
106 The third subsection presents the definitions related to cubical cell complexes

107 and the last subsection gives our first restricted version of ML-simpleness.

## 108 2.1. Images and Voxels Notions

109 A *voxel* is an element of the discrete space  $\mathbb{Z}^3$ . A 3D image is a finite set of  
110 voxels  $I$  (the image domain), and a mapping between these voxels and a set of  
111 colors or a set of gray levels (the image values). Each voxel  $v$  is associated with  
112 a label  $l(v)$ , a value in a given finite set  $L$ . These labels can be obtained from the  
113 image by a segmentation algorithm.

114 We use the classical notion of  $\alpha$ -adjacency, with  $\alpha \in \{6, 18, 26\}$ . The set of  
115 voxels  $\alpha$ -adjacent to  $v$  is noted  $N_\alpha^*(v)$ , and thus we define  $N_\alpha(v) = N_\alpha^*(v) \cup \{v\}$ .  
116 An  $\alpha$ -path between two voxels  $v_1$  and  $v_2$  is a sequence of voxels between  $v_1$  and  
117  $v_2$  such that each pair of consecutive voxels is  $\alpha$ -adjacent. A set of voxels  $S$  is  
118  $\alpha$ -connected iff there is an  $\alpha$ -path between any pair of voxels of  $S$ , having all its  
119 voxels in  $S$ .

120 We consider the relation induced by being 6-connected and having the  
121 same label. This is an equivalence relation over the image domain, and the  
122 equivalence classes are the *regions* of the image. We consider an infinite region  
123  $r_0$  that “surrounds” the image (*i.e.*  $r_0 = \mathbb{Z}^3 \setminus I$ . There is only one infinite  
124 region, which is not necessarily 6-connected if the image has some holes). The  
125 complement set of a region  $X$  in  $I$  is denoted by  $\bar{X}$ . We extend the notion of  
126 adjacency to regions: two regions  $R_1$  and  $R_2$  are  $\alpha$ -adjacent if there is one voxel  
127 in  $R_1$  and one voxel in  $R_2$  that are  $\alpha$ -adjacent. One voxel  $v$  is  $\alpha$ -adjacent to a  
128 region  $R$  if there is a voxel in  $R$  which is  $\alpha$ -adjacent to  $v$ .

129 Now, we recall notations and definitions from (Bertrand, 1994). The set of  
130  $\alpha$ -connected components of a set of voxels  $X$  is called  $C_\alpha(X)$ . The geodesic  
131 neighborhood of  $v$  in  $X$  of order  $k$  is the set  $N_\alpha^k(v, X)$  defined recursively by:  
132  $N_\alpha^1(v, X) = N_\alpha^*(v, X) \cap X$ , and  $N_\alpha^k(v, X) = \bigcup \{N_\alpha(Y) \cap N_{26}^*(v) \cap X, Y \in N_\alpha^{k-1}(v, X)\}$ .

133 In other words,  $N_\alpha^k(v, X)$  is the set of voxels  $x$  belonging to  $N_{26}^*(v) \cap X$  such  
134 that it exists an  $\alpha$ -path  $\pi$  from  $v$  to  $x$  of length at most  $k$ , all the voxels of  $\pi$   
135 belonging to  $N_{26}^*(v) \cap X$ .

136 In this paper, we use only the couple of neighborhood (6, 18) (6 for object and

137 18 for background). In this framework, we obtain the 6-geodesic neighborhood  
 138  $G_6(x, X) = N_6^3(x, X)$  and the 18-geodesic neighborhood  $G_{18}(x, X) = N_{18}^2(x, X)$ .

139 From these notations, Bertrand (Bertrand, 1994) defines the notion of simple  
 140 points in a (6, 18)-connectivity as given in Definition 1.

141 **Definition 1 (Simple points (Bertrand, 1994)).** A voxel  $v$  is simple for a set  $X$   
 142 if  $\#C_6[G_6(v, X)] = \#C_{18}[G_{18}(v, \bar{X})] = 1$ , where  $\#C_k[Y]$  denotes the number of  
 143  $k$ -connected components of a set  $Y$ .

## 144 2.2. Intervoxel Topology

145 Given an image, we describe the boundaries of its regions by using the  
 146 classical notion of *intervoxel* (Kovalevsky, 1989). In this intervoxel framework,  
 147 we do not only consider voxels but we also consider all the elements of the  
 148 subdivision of the discrete space in unit elements: *voxels* are unit cubes, *surfels*  
 149 are unit squares between voxels, *linels* are unit segments between surfels, and  
 150 *pointels* are the points between linels.

151 In the rest of this paper, we use the following notations:

- 152 • for a voxel  $v$ :  $\text{surfels}(v)$  is the set of the six surfels between  $v$  and all its  
 153 6-neighbors;
- 154 • for a surfel  $s$ :  $\text{linels}(s)$  is the set of the four linels between  $s$  and its adjacent  
 155 surfels;
- 156 • for a linel  $l$ :  $\text{pointels}(l)$  is the set of the two pointels between  $l$  and its  
 157 adjacent linels.

158 We extend these notations to any set of elements. Given a set of voxels  $V$ ,  
 159  $\text{surfels}(V)$  is the union of  $\text{surfels}(v)$  for all  $v$  in  $V$  (the same for  $\text{linels}(S)$ ,  $S$  being  
 160 a set of surfels, which is the union of  $\text{linels}(s)$  for all  $s$  in  $S$ , and for  $\text{pointels}(L)$ ,  
 161  $L$  being a set of linels, which is the union of  $\text{pointels}(l)$  for all  $l$  in  $L$ ).

162 To simplify notations, we use also the following notations. Given a voxel  
 163  $v$ ,  $\text{linels}(v)$  denotes  $\text{linels}(\text{surfels}(v))$ , and  $\text{pointels}(v)$  denotes  $\text{pointels}(\text{linels}(v))$ .  
 164 Given a surfel  $s$ , we use  $\text{pointels}(s)$  to denote of  $\text{pointels}(\text{linels}(s))$ .

165 A pointel  $p$  and a linel  $l$  (resp. a linel  $l$  and a surfel  $s$ , a surfel  $s$  and a voxel  
 166  $v$ ) are *incident* if  $p \in \text{pointels}(l)$  (resp.  $l \in \text{linels}(s), s \in \text{surfels}(v)$ ). By transitivity,  
 167 we say that a linel  $l$  is incident to a voxel  $v$  if  $l$  is incident to a surfel  $s$  which is  
 168 incident to  $v$  (and similarly for other cells, like for a pointel incident to a surfel  
 169 or to a voxel). Two linels (resp. surfels) are adjacent if there is a pointel (resp.  
 170 linel) incident to both linels (resp. surfels).

171 We define  $SF$  as the set of boundary surfels of  $I$ :  $SF = \{\text{surfel } s | s \text{ separates}$   
 172  $\text{two voxels with different labels}\}$ . We can remark that any surfel incident to a  
 173 voxel of the infinite region and to a voxel of  $I$  belong to  $SF$  since the label of the  
 174 infinite region is by convention distinct from any other label. Given a voxel  $v$ ,  
 175 we define  $sf(v) = \text{surfels}(v) \cap SF$ . This is the set of boundary surfels incident to  
 176 the given voxel  $v$ .

177 In the following, we need to study the contact area between a voxel and a  
 178 region. For that, we note  $s(v, R) = \{\text{surfel } s | s \in \text{surfels}(v) \text{ and } s \text{ is incident to a}$   
 179  $\text{voxel distinct from } v \text{ in region } R\}$ , and  $l(v, R) = \{\text{linel } l | l \in \text{linels}(v) \text{ and the two}$   
 180  $\text{surfels incident to } l \text{ and not to } v \text{ are incident to two voxels of } R\}$ . The contact  
 181 area between  $v$  and  $R$  is thus  $c(v, R) = \{l(v, R), s(v, R)\}$ . Pointels are not taken  
 182 into account here due to the couple of neighborhood considered (6, 18).

183 There are five possible configurations for  $c(v, R)$ :

- 184 1. *no surfel*:  $s(v, R) = \emptyset$ , *i.e.*  $v$  is not 6-adjacent to  $R$ ;
- 185 2. *sphere*:  $s(v, R)$  contains the 6 surfels incident to  $v$ , and  $l(v, R)$  contains the  
 186 12 linels incident to  $v$ ;
- 187 3. *disconnected*: there is at least two surfels  $s_1$  and  $s_2$  in  $s(v, R)$  for which there  
 188 is no path of surfels in  $s(v, R)$  such that each couple of consecutive surfels  
 189 are adjacent and separated by a linel in  $l(v, R)$ ; or there is a linel in  $l(v, R)$   
 190 which is not incident to a surfel in  $s(v, R)$ ;
- 191 4. *with holes*: the complementary of the set of linels and surfels in  $c(v, R)$  is  
 192 composed by at least two connected components, thus  $c(v, R)$  has at least  
 193 an hole;
- 194 5. *disk*: all the other cases *i.e.* a non empty connected set of surfels and linels

195 such that its complementary is non empty and connected.

196 A discrete surface is defined as a set of surfels that border a region (Herman,  
197 1998; Kovalevsky, 2008). It has been shown that discrete surfaces have the  
198 Jordan property, *i.e.* such a surface separates the set of voxels in two regions:  
199 an interior and an exterior. A discrete surface is noted  $\partial(R) = \{\text{surfel } s \in SF | s$   
200  $\text{is incident to } R\}$ . To study the subset of a discrete surface that separates two  
201 distinct regions  $R$  and  $R'$ , we note  $f(R, R') = \{\text{surfel } s | s \text{ is incident to } R \text{ and to}$   
202  $R'\}$  ( $f$  stands for the frontier between  $R$  and  $R'$ ). If  $R$  and  $R'$  are not 6-adjacent,  
203  $f(R, R')$  is empty. We can easily prove that  $\partial(R)$  is the union for all  $R' \neq R$  of  
204  $f(R, R')$ .

205 Given a linel  $l$ , its *degree*  $d(l)$  is the number of boundary surfels incident to  
206  $l$ , thus  $d(l) = |\{\text{surfels } s \in SF \text{ and } s \text{ is incident to } l\}|$ . Note that  $d(l)$  is 0, 2, 3 or 4,  
207 but never 1. Given a linel  $l$  and a voxel  $v$ , we denote by  $d(l, v)$  the degree of  $l$   
208 restricted to boundary surfels incident to  $v$ , thus  $d(l, v) = |\{\text{surfels } s \in sf(v)\}|$ .

### 209 2.3. Cubical Complexes and Collapse

210 In this paper, we use another notion of simplicity defined on surfaces.  
211 Therefore, we use the work of (Couprie and Bertrand, 2008) which defines the  
212 notion of simple sets for cubical complexes. We recall here the main notions of  
213 this paper restricted to the specific case used in this work, called *specific cubical*  
214 *complex* (SCC).

215 A *cubical complex* is a set of elements having various dimensions (which  
216 are pointels, linels, surfels, voxels), glued together by adjacency and incidence  
217 relations. In this work, we only use cubical complexes made of a set of surfels,  
218 plus all the linels and pointels incident to these surfels: this is what we call  
219 SCC. For these reasons, we can describe these specific cubical complexes only  
220 by giving their set of surfels.

221 A *face* of a SCC is a surfel, linel or pointel incident to a surfel of the complex.  
222 A *facet* of a SCC is one of its surfels. We note  $X^+$  the set of facets of the SCC  $X$ ,  
223 *i.e.* the set of its surfels.



224 A SCC is always closed (because it contains all the linels and pointels inci-  
 225 dent to surfels): thus the closure<sup>1</sup> of a SCC  $X$ , noted  $X^-$ , is equal to  $X$ . Moreover,  
 226 let  $X$  be a cubical complex, for each  $S$  included in  $X^+$ ,  $S^-$  is a subcomplex of  $X$   
 227 (the SCC containing the surfels of  $S$  plus all the linels and pointels incident to  
 228 these surfels).

229 Intuitively, a subcomplex of a complex  $X$  is simple if its removal from  $X$   
 230 does not change the topology of  $X$ . In this work, we use this notion to ensure  
 231 that the topology of each surface is preserved.

232 This notion of simplicity is defined using the collapse operation which is  
 233 a discrete analogue of a continuous deformation (more precisely, a retract by  
 234 deformation).

235 Let  $X$  be a SCC, and let  $(l, s)$  be an ordered pair such that  $l$  is a linel belonging  
 236 to  $X$  and  $s$  is a surfel belonging to  $X$ . The pair  $(l, s)$  is a *free pair* for  $X$  if  $l$  is incident  
 237 to  $s$ , and there is no other surfel in  $X$  (distinct from  $s$ ) incident to  $l$ . Intuitively,  
 238 the linel  $l$  is on the “border” of  $X$ . Then, the complex  $X \setminus \{l, s\}$  is an *elementary*  
 239 *collapse* of  $X$ . Now, a SCC  $X$  collapses onto a complex  $Y$  if there is a sequence  
 240 of elementary collapse going from  $X$  to  $Y$  (in this work, we use the collapse  
 241 operation between a SCC  $X$ , and a cubical complex made of linels plus all the  
 242 pointels incident to the linels).

243 Let  $X$  and  $Y$  two SCC,  $X \otimes Y = (X^+ \setminus Y^+)^-$ . This is the SCC obtained by  
 244 removing from the surfels in  $X$  all the surfels in  $Y$ .

245 The attachment of  $Y$  for  $X$  is the complex defined by  $Att(Y, X) = Y \cap (X \otimes Y)$ .  
 246 It is the set of linels and pointels which are incident both to  $Y$  and to  $X \otimes Y$ .

247 Now we use the collapse definition to prove that the topology of a surface  
 248 is unchanged when removing some of its surfels, or when adding some new  
 249 surfels. Therefore, we use the two following definitions from Couprie and  
 250 Bertrand (2008):

251 1. the complex  $Y$  is *simple* for  $X$  if and only if  $Y$  collapses onto  $Att(Y, X)$ ;

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<sup>1</sup>In the general case, the closure of a cubical complex is obtained by adding each face of the complex.

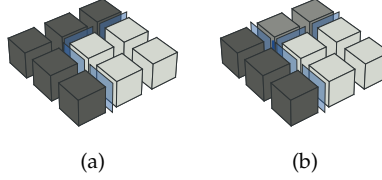


Figure 1: Configuration where the central voxel is ML-simple. In each case, we intend to swap the voxel into the darker region. (a) The voxel is rML-simple: for each line  $l$  in  $\text{linels}(v)$  we have  $d(l) = 0$  or  $d(l) = 2$ . (b) The voxel is not rML-simple: there is one line  $l$  incident to the central voxel with  $d(l) = 3$ .

- 252 2. the complex  $X \cup Y$  collapses onto  $X$  if and only if  $Y$  collapses onto  $X \cap Y$ .  
 253 In such a case, we say that  $Y$  is *add-simple* for  $X$ .

254 2.4. Preliminary Work

255 In (Dupas et al., 2009), we give a first definition of multi-label simple points  
 256 allowing to preserve both the topology of regions and the surface relations,  
 257 recalled in Definition 2. In this paper, we refer to this previous definition as  
 258 restricted multi-label simple points (rML-simple points). The definition allows  
 259 to change the label of a rML-simple voxel, and guarantees that the topology of  
 260 the partition is preserved. However, modifications of the edges of the partition  
 261 are not allowed: a voxel incident to a line of degree 3 or degree 4 is not an  
 262 rML-simple point, even if it is possible to change its label while preserving the  
 263 topology of the regions (see Fig. 1).

264 **Definition 2 (Restricted Multi-Label simple points).** A voxel  $x$  is rML-simple  
 265 if:

- 266 1. for each  $l$  in  $\text{linels}(x)$ , we have either  $d(l) = 0$  or  $d(l) = 2$ ;  
 267 2.  $sf(x)$  is homeomorphic to a 2-disk;  
 268 3. for each  $l$  in  $\text{linels}(x)$ ,  $d(l, x) = 0$  implies  $d(l) = 0$ .

### 269 3. Multi-Label Simple Points

270 In this paper, we extend Definition 2 to the deformation of any voxel that  
271 preserves the topology of the partition, even when edges are moved. Given  
272 a voxel  $x$  in some region  $X$ , the deformation operation, called *flip*, consists to  
273 remove  $x$  from  $X$  by changing the label of  $x$ . In this context, the main tool to  
274 control the topology modification is the notion of simple point. However, there  
275 are two main differences with classical notion of simple points. Firstly we deal  
276 with multi-label images and not binary images. Secondly we want to preserve  
277 the topology of regions but also the topology of surfaces between the regions.

#### 278 3.1. Definition of Multi-Label Simple Points

279 Before giving the definition of multi-label simple points, we study the flip  
280 operation in multi-label images, and the related modifications on discrete sur-  
281 faces. Using the modifications, we are able to define simple configurations. Let  
282  $x$  be a voxel belonging to a region  $X$ , the operation that flips  $x$  in the region  $R$  ( $R$   
283 being 6-adjacent to region  $X$ ) consists in removing voxel  $x$  from  $X$  and adding  
284  $x$  to  $R$ . Note that  $R$  and  $X$  are the only regions modified, but we also need  
285 to look at the modifications on the intervoxel boundaries of the regions: each  
286 surfel incident to  $x$  that is between  $X$  and another region  $O \neq X$  before the flip,  
287 becomes a surfel between  $R$  and  $O$  after the flip. The flip implies the following  
288 modifications of surfaces:

- 289 •  $f(X, R) \leftarrow f(X, R) \setminus s(x, R) \cup s(x, X)$ ; all the surfels that are between voxel  
290  $x \in X$  and  $R$  before the flip are removed from the surface between  $X$  and  
291  $R$ , and all the surfels that are between voxel  $x \in X$  and  $X$  before the flip  
292 are added to the surface between  $X$  and  $R$ ;
- 293 • For any region  $O$  with  $O \neq X$ ,  $O \neq R$ :  $f(X, O) \leftarrow f(X, O) \setminus s(x, O)$ ; all the  
294 surfels that are between voxel  $x \in X$  and  $O$  before the flip are removed  
295 from the surface between  $O$  and  $X$ ;

296 • For any region  $O$  with  $O \neq X$ ,  $O \neq R$ :  $f(R, O) \leftarrow f(R, O) \cup s(x, O)$ ; all the  
 297 surfels that are between voxel  $x \in X$  and  $O$  before the flip are added to  
 298 the surface between  $O$  and  $R$ .

299 To define the notion of multi-label simple point, which preserves the topol-  
 300 ogy of the partition, we have to guarantee that the topology of region  $X$  and  
 301 region  $R$  is preserved, and that the topology of each surface is also preserved.  
 302 Definition 3 gives the new definition of *multi-label simple points* (called *ML-simple*  
 303 *points*) which guarantees these two properties.

304 **Definition 3 (ML-simple points).** A voxel  $x$ , belonging to region  $X$ , is ML-  
 305 simple for region  $R$  if:

- 306 1.  $c(x, R)$  is homeomorphic to a 2-disk;
- 307 2.  $c(x, X)$  is homeomorphic to a 2-disk;
- 308 3. for each region  $O$  6-adjacent to  $v$ , distinct from  $X$  and  $R$ :  $s(x, O)$  is simple  
 309 for  $f(X, O)$ ; and  $s(x, O)$  is add-simple for  $f(R, O)$ .

310 There are three main differences with the definition of rML-simple points.  
 311 First, the condition “for each  $l$  in  $\text{linels}(x)$ , we have either  $d(l) = 0$  or  $d(l) = 2$ ” is  
 312 removed to process voxels incident to several regions and not only voxels in a  
 313 binary 18-neighborhood. The condition is replaced by the new condition (3) to  
 314 ensure that the topology of  $R$  is preserved after the flip.

315 Second, the condition “ $sf(x)$  is homeomorphic to a 2-disk” is replaced by  
 316 conditions (1) and (2) of Definition 3. In the previous definition there are  
 317 only two regions in the 18-neighborhood of  $x$ ,  $sf(x)$  is homeomorphic to a 2-  
 318 disk. Thus, the complementary of  $sf(x)$  is also homeomorphic to a 2-disk. In  
 319 Definition 3 several regions are adjacent to  $x$ , so we have to check that both  
 320  $c(x, R)$ , and  $c(x, X)$  are homeomorphic to 2-disks. Conditions (1) and (2) are  
 321 necessary to ensure that both the topology of  $R$  and the topology of  $X$  are  
 322 preserved. Moreover, to detect configurations where two surfels are adjacent  
 323 but separated by a linels incident to another region (as seen in the example of  
 324 Fig. 2d), the test uses linels in addition to surfels.

325 Third, the new condition (3) guarantees that the topology of other surfaces  
 326 incident to  $x$  remains unchanged. The two subproperties induce that removal  
 327 and addition of each set of surfels from or to original surfaces does not modify  
 328 the surface topology. For the removed surfels, it prevents any topological  
 329 modification but also any vanishing of existing surface. For the added surfels,  
 330 it forbids the creation of a new surface.

331 Note that all the conditions are local since they are all restricted to surfels or  
 332 linels incident to the considered voxel. In condition (3) the set of surfels  $s(x, O)$   
 333 is a subset of the 6 surfels incident to  $x$ . Thus, the tests if  $s(x, O)$  is simple for  
 334  $f(X, O)$  and if  $s(x, O)$  is add-simple for  $f(R, 0)$  are achieved locally, whatever  
 335  $f(X, O)$  and  $f(R, 0)$ , since by definition the test is restricted to the study of the  
 336 intersection of these sets with  $s(x, O)$  (see Sect. 2.3).

337 In the following, we first detail the different parts of Definition 3. Then, we  
 338 prove that each rML-simple point is an ML-simple point. Last, we prove the  
 339 main properties of ML-simple points: *i.e.* they are simple points, and flipping  
 340 this kind of voxel preserves the topology of both regions and surfaces.

341 Informally, each one of the three conditions of Definition 3 allows:

- 342 1. to ensure that the topology of  $R$  is preserved when flipping  $x$  in  $R$ : if  $c(x, R)$   
 343 is not homeomorphic to a 2-disk, flipping  $x$  in  $R$  involves a topological  
 344 modification. If  $s(x, R)$  is empty, this creates a new cavity which is an  
 345 isolated region containing  $v$ . If  $s(x, R) = \text{surfels}(x)$ ,  $x$  is isolated and  
 346 flipping  $x$  in  $R$  removes a cavity of  $R$ . If  $s(x, R)$  is not homeomorphic to  
 347 a 2-disk, then either  $c(x, R)$  is made of two connected components (for  
 348 example two opposite surfels, or two adjacent surfels but without the  
 349 incident linel in  $l(x, R)$ ) or  $c(x, R)$  has a hole. In the first case flipping  $x$  in  $R$   
 350 creates a tunnel in  $R$ , and in the last case flipping  $x$  in  $R$  removes a tunnel  
 351 of  $R$  (see Fig. 2a);
- 352 2. to preserve the topology of  $X$ : if  $c(x, X)$  is not homeomorphic to a 2-  
 353 disk, removing  $x$  from  $X$  involves, similarly to the previous condition, the  
 354 removal or creation of a cavity or a tunnel of  $X$  (see Fig. 2b and Fig. 2d);

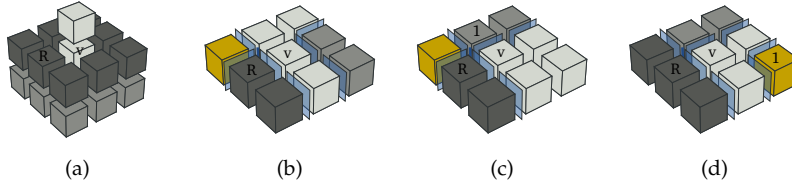


Figure 2: Examples of rejected configurations. In each case, we intend to flip the central voxel  $x$  (belonging to region  $X$ ) into the darker region (region  $R$ ). (a) Rejected by condition (1):  $c(x, R)$  is not homeomorphic to a 2-disk. (b) Rejected by condition (2):  $c(x, X)$  is not homeomorphic to a 2-disk. (c) Rejected by condition (3):  $s(x, 1)$  is not add-simple for  $f(X, 1)$ . (d) Rejected by condition (2).  $c(x, X)$  is not homeomorphic to a 2-disk since the line between the two surfels does not belong in  $l(x, X)$ .

355 3. to preserve the topology of each surface  $f(X, O)$  when removing surfels  
 356  $s(x, O)$ , and to preserve the topology of each surface  $f(R, O)$  when adding  
 357 surfels  $s(x, O)$ . This condition have to be satisfied for each surface between  
 358  $X$  and a region  $O$  6-adjacent to  $x$  and different from  $R$  (see Fig. 2c and Fig. 3).

### 359 3.2. Restricted Multi-Label Simple Points are Multi-Label Simple Points

360 First, we prove that the previous definition of rML-simple points, (configu-  
 361 rations where linels do not move), are ML-simple points (*i.e.* that the previous  
 362 definition is included into the new one). This shows that we do not miss  
 363 previous configurations which have been proved to be simple points.

364 **Proposition 1.** *If  $x \in X$  is an rML-simple point, then  $x$  is a ML-simple point for the*  
 365 *second region  $R$  adjacent to  $x$ .*

366 **PROOF.** Since  $x \in X$  is an rML-simple point, the following properties are satisfied  
 367 (cf. Definition 3): (1)  $\forall l \in \text{linels}(x), d(l) \in \{0, 2\}$ ; (2)  $sf(x)$  is homeomorphic to  
 368 a 2-disk; (3)  $\forall l \in \text{linels}(x), d(l, x) = 0 \Rightarrow d(l) = 0$ . By using the Lemma 1 in  
 369 (Dupas et al., 2009), we know that there are only two regions in  $N_{18}(x)$ ,  $X$  which  
 370 contains  $x$  and  $R$  the second region. Thus, we have  $s(x, R)$  equals to  $sf(x)$ .

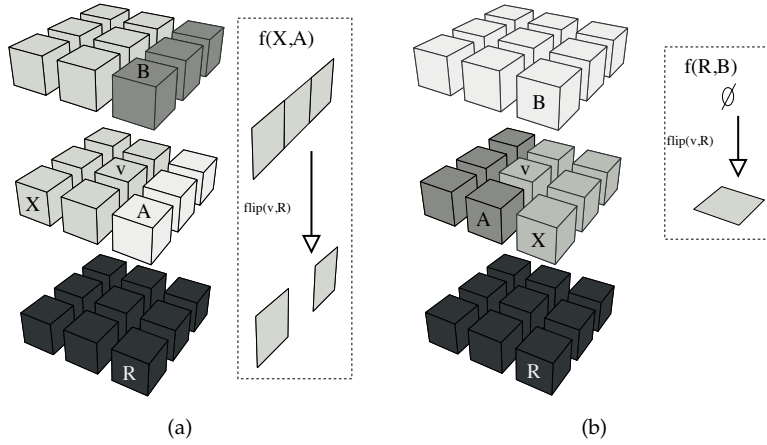


Figure 3: Examples of rejected configurations due to condition (3). In each case, we intend to flip the central voxel  $x$  (belonging to region  $X$ ) into the darker region (region  $R$ ). In both cases, the first two conditions are satisfied. The flip does not modify the topology of regions, but modifies the topology of frontiers between regions. (b)  $s(x, A)$  is not simple for  $f(X, A)$ . (a)  $s(x, B)$  is not add-simple for  $f(R, B)$  (here  $s(x, A)$  is simple for  $f(X, A)$  and  $s(x, B)$  is simple for  $f(X, B)$ ).

371 We prove that all the conditions of Definition 3 are satisfied.

372 First, let us prove that  $c(x, R)$  is homeomorphic to a 2-disk. We have  $s(x, R)$   
 373 equals to  $sf(x)$  and  $sf(x)$  is homeomorphic to a 2-disk. Moreover, for each linel  
 374  $l$  incident to two surfels in  $s(x, R)$ , we have  $d(l)$  equals 2 (by condition (1) of  
 375 rML-simple point definition) which implies that  $l$  is in  $l(x, R)$ . Thus,  $s(x, R)$  is  
 376 homeomorphic to a 2-disk with all the linels between these surfels in  $l(x, R)$ :  
 377 this shows that  $c(x, R)$  is homeomorphic to a 2-disk.

378 For  $c(x, X)$ , we use the fact that  $s(v, X)$  is the complementary of  $s(v)$ , *i.e.* is  
 379 the set of the 6 surfels incident to  $v$  minus  $sf(v)$  (because there are only two  
 380 regions in  $N_{18}(v)$ ). Hence  $s(v, X)$  is homeomorphic to a 2-disk, otherwise  $sf(v)$   
 381 would not be homeomorphic to a 2-disk. For the linels, we have for each linel  $l$   
 382 incident to two surfels in  $s(x, X)$ ,  $d(l, x)$  equals 0 which implies  $d(l)$  equals 0 (by  
 383 condition (3) of rML-simple point definition). These linels belong to  $l(x, X)$  and

384 for the same reason as above, we can conclude that  $c(x, X)$  is homeomorphic to  
385 a 2-disk.

386 Condition (3) is satisfied by vacuity since there is no other region distinct  
387 from  $X$  and  $R$  that is 6-adjacent to  $v$ .

388 Note that the reverse proposition is false: an ML-simple point is, in the  
389 general case, not an rML-simple point (as seen in Fig. 1). The goal of the  
390 extended definition is to allow the flipping of more voxels, namely the voxels  
391 adjacent to more than two regions, which were classified as non simple in the  
392 rML-simple point definition.

### 393 3.3. Multi-Label Simple Points are Simple Points

394 Now we prove that the topology of regions is preserved when flipping an  
395 ML-simple point. For that, we start by showing that ML-simple points are  
396 simple points for the two modified regions.

397 **Proposition 2.** *If  $x \in X$  is an ML-simple point for  $R$ , then  $x$  is a simple point for  $X$   
398 and for  $R$ .*

399 **PROOF.** First, if there are exactly two regions in  $N_{18}(x)$  (*i.e.*  $X$  and  $R$ ), we know  
400 by Proposition 1 of (Dupas et al., 2009) that  $x$  is simple for  $R$ . Since the 18-  
401 neighborhood of  $x$  is limited to binary case, and by definition of simple points  
402 the topology of the complementary of  $R$  is preserved: we can deduce that the  
403 topology of  $X$  is also preserved, and thus that  $x$  is simple for  $X$ .

404 The case where there are only one region in  $N_{18}(x)$  is impossible since  $x$   
405 cannot be an ML-simple point in this configuration.

406 In cases with more than two regions, we use a proof similar to the one in  
407 (Dupas et al., 2009), by proving the contrapositive of Proposition 2, *i.e.* if  $x$  is  
408 not a simple point for  $R$ , then  $x$  is not an ML-simple point. Let  $n_1$  be equal to  
409  $\#C_6[G_6(x, R)]$  and  $n_2$  be equal to  $\#C_{18}[G_{18}(x, \bar{R})]$ , we know that the voxel  $x$  is not  
410 simple in the four following cases: (1)  $n_1 = 0$ , (2)  $n_2 = 0$ , (3)  $n_1 \geq 2$ , (4)  $n_2 \geq 2$ .

411 Let us prove that the voxel  $x$  is not an ML-simple point in each case:



- 412 1.  $n_1 = 0$ . There is no 6-connected component of voxels belonging to  $R$  in  
413  $G_6(x, R)$ :  $s(x, R)$  is empty, and thus  $c(x, R)$  is not homeomorphic to a disk  
414 which contradicts condition (1) of Definition 3.
- 415 2.  $n_2 = 0$ . There is no 18-connected component of voxels belonging to  $\bar{R}$  in  
416  $G_{18}(x, \bar{R})$ :  $s(x, X)$  is empty, and thus  $c(x, X)$  is not homeomorphic to a disk  
417 which contradicts condition (2) of Definition 3.
- 418 3.  $n_1 \geq 2$ : there are at least two 6-connected components of voxels belonging  
419 to  $R$  in  $G_6(x, R)$ . If there are two 18-adjacent voxels  $v_1$  and  $v_2$  in two  
420 different connected components, then the voxel  $v_3 \neq x$  6-adjacent to  $v_1$   
421 and to  $v_2$  belongs to  $\bar{R}$  (otherwise there is only one connected component)  
422 and thus  $c(x, R)$  is not homeomorphic to a disk since the line  $l$  incident to  
423  $x$ ,  $v_1$  and  $v_2$  is not in  $l(x, R)$ , and there is no other path of surfels between  
424 these two surfels, otherwise  $v_1$  and  $v_2$  would be in the same connected  
425 component. This contradicts condition (1) of Definition 3.  
426 If there is no voxels  $v_1$  and  $v_2$  in two different connected components  
427 that are also 18-adjacent, the connected components are separated by  $x$ .  
428 In this case,  $c(x, R)$  is not homeomorphic to a disk (it is an annulus) in  
429 contradiction to condition (1).
- 430 4.  $n_2 \geq 2$ : there are at least two 18-connected components of voxels be-  
431 longing to  $\bar{R}$  in  $G_{18}(x, \bar{R})$ . If there are two voxels  $v_1, v_2 \in N_6(x)$  in two  
432 different connected components, then  $v_1$  and  $v_2$  are not 18-adjacent (oth-  
433 erwise there is only one connected component), and thus all other voxels  
434 in  $N_6(x)$  belong to  $R$ . Hence,  $c(x, R)$  is not homeomorphic to a disk, which  
435 contradicts condition (1) of Definition 3.  
436 If there is no two voxels of  $N_6(x)$  in two different connected components,  
437 that means one of them (say  $v_1$ ) belongs to  $N_{18}(x) \setminus N_6(x)$ , and that all  
438 the voxels in  $N_6(x)$ , except  $v_2$ , belong to  $R$  (otherwise we are either in  
439 the case of the previous paragraph, or there is only one 18-connected  
440 components of voxels belonging to  $\bar{R}$ ), and thus  $s(x, R)$  contains the five  
441 surfels incident to  $x$  and not to  $v_2$ .  
442 The line  $l$  incident to  $v_1$  and  $x$  is not in  $l(x, R)$  (since the two 6-neighbors

443 of  $v_1$  in  $N_6(x)$  belong to  $R$  while  $v_1$  does not):  $c(x, R)$  has a hole and thus is  
 444 not homeomorphic to a disk in contradiction to condition (1).  $\square$

445 We can make a similar proof for the proposition: if  $x$  is not a simple point for  
 446  $X$ , then  $x$  is not an ML-simple point. This is done again by showing that in  
 447 each case where  $x$  is not simple, there is a contradiction with a condition of  
 448 Definition 3 (and in this second part of the proof, condition (2) is used instead  
 449 of condition (1)).

450 Since regions distinct from  $X$  and  $R$  are not modified by the flip operation, this  
 451 proves that the topology of all regions in the image is preserved. Note that the  
 452 reverse proposition is false: simple points are not ML-simple points (in Fig. 3,  
 453 for both examples, voxel  $v$  is simple but not ML-simple).

454 Now we prove that the topology of each surface is preserved. This proof is  
 455 straightforward by using the works in (Couprie and Bertrand, 2008).

456 **Proposition 3.** *If  $x$  is an ML-simple point for  $R$ , the topology of each surface is*  
 457 *unchanged by flipping  $x$  in  $R$ .*

458 **PROOF.** First, let us study the surfaces between  $O$ , a region 6-adjacent to  $x$ ,  
 459 distinct from  $X$  and  $R$ , and regions  $X$  and  $R$ , and prove that the topology  
 460 of these surfaces is preserved. This is a direct consequence of condition (3)  
 461 of Definition 3, and the definition of simplicity in cubical complexes. Since  
 462  $f(X, O) \leftarrow f(X, O) \setminus s(x, O)$ , and  $s(x, O)$  is simple for  $f(X, O)$ , the topology of  
 463  $f(X, O)$  before and after the flip remains the same. Since  $f(R, O) \leftarrow f(R, O) \cup$   
 464  $s(v, O)$ , and  $s(x, O)$  is add-simple for  $f(R, O)$ , the topology of  $f(R, O)$  before and  
 465 after the flip remains the same.

466 Second, let us study the surface between  $X$  and  $R$ . This surface cannot disap-  
 467 pear, otherwise  $s(x, R)$  is empty and that contradicts condition (1) of ML-simple  
 468 point definition. This surface cannot be cut in two connected components, nor  
 469 topologically modified. We have  $\partial X$  that is the union of all surfaces  $f(X, O)$ , for  
 470 all  $O \neq X$ , i.e.  $\partial X$  equals to  $f(X, R)$  plus  $f(X, O)$ , for all  $O \neq X$  and  $\neq R$ . Since  
 471 we have shown that the topology of region  $X$  is unchanged (no modification of

472 tunnels nor cavities), and since the topology of each surface  $f(X, O)$  is preserved  
 473 for all  $O \neq X$  and  $\neq R$ , the topology of  $f(X, R)$  is also unchanged. Otherwise  $\partial X$   
 474 is modified.

475 Since no other surfaces are modified, the topology of each surface in the  
 476 image is unchanged by the flip.  $\square$

### 477 3.4. Detection of Multi-Label Simple Points

478 Now we present an algorithm allowing to detect if a given voxel is a ML-  
 479 simple point. For that, we need to be able to retrieve efficiently intervoxel  
 480 information. This is achieved by using two matrixes. The first one is a matrix  
 481 which encodes the regions, *i.e.* the voxel labels. The second one is an intervoxel  
 482 matrix which encodes the borders of the regions in the 3D image. For each  
 483 intervoxel cell  $c$ , this matrix store the state of  $c$  ("on" or "off") depending on the  
 484 three following rules:

- 485 • a surfel  $s$  is "on" iff  $s \in SF$  (*i.e.*  $s$  is between 2 voxels with different labels);
- 486 • a linel  $l$  is "on" iff  $l$  is incident to  $> 2$  "on" surfels;
- 487 • a pointel  $p$  is "on" iff  $p$  is incident to 1 or  $> 2$  "on" linels.

488 We use the intervoxel matrix in Algo. 4 to determine if voxel  $v$  is ML-simple.  
 489 This algorithm uses the two functions given in Algo. 1 and Algo. 2. The first  
 490 function tests if a set of surfels is homeomorphic to a disk, and the second  
 491 function tests if a set of surfels can collapse on a set of linels. For these two  
 492 algorithms, we use the property that the set of surfels is a subset of the surfels  
 493 incident to a given voxel, and that the set of linels is also a subset of the linels  
 494 incident to the same voxel. These two properties allow to define algorithms  
 495 with constant time complexity since the number of cases is limited.

496 Algorithm 1 tests if the set  $S$  is homeomorphic to a disk by checking that  
 497 it does not correspond to one of the four configurations where  $S$  is not a disk.  
 498 The first case ( $|S| = 0$ ) corresponds to  $S$  is empty. The second case ( $|S| =$   
 499 6) corresponds to  $S$  is homeomorphic to a sphere. The third case is if  $S$  is

500 composed of two opposite surfels. The fourth case is if  $S$  is composed of 4 surfels homeomorphic to an annulus.

---

**Algorithm 1:**  $\text{isDISK}(S)$

---

**Data:** set  $S$  of surfels incident to a voxel  $x$ .

**Result:** *true* iff  $S$  is homeomorphic to a disk.

```

1 if  $|S| = 0$  or  $|S| = 6$  then
2   return false;
3 if  $S = \{s_1, s_2\}$  then
4   if  $s_1$  and  $s_2$  are adjacent then return true;
5   else return false;
6 if  $|S| = 4$  then
7   let  $s_1$  and  $s_2$  be the two surfels incident to  $x \notin S$ ;
8   if  $s_1$  and  $s_2$  are adjacent then return true;
9   else return false;
10 return true;

```

---

501  
502 Algorithm 2 tests if the set of surfels  $S$  can collapse on the set of linels  $L$  by  
503 considering the two possible cases (more precisely the CSS obtained from  $S$  by  
504 adding all linels and pointels incident to surfels in  $S$  can collapse on the cubical  
505 complex obtained from  $L$  by adding all pointels incident to a linel in  $L$ ). The  
506 first case is if  $S$  is homeomorphic to a disk:  $S$  can collapse on  $L$  if and only if  $L$  is  
507 homeomorphic to a segment. The second case is if  $S$  is homeomorphic to an  
508 annulus:  $S$  can collapse on  $L$  if and only if  $L$  is homeomorphic to a circle. To test  
509 if  $L$  is homeomorphic to a segment, we consider two different cases. If  $|L| = 1$ ,  $L$   
510 is homeomorphic to a segment. If  $|L| > 1$ , we check if each linel in  $L$  is adjacent  
511 to one or two other linels in  $L$ , and there is exactly two linels that are adjacent  
512 to only one other linel. For the circle, the test is similar but all linels in  $L$  have  
513 to be adjacent to exactly 2 linels in  $L$ , and there must be only one connected  
514 component (to avoid case where  $L$  is homeomorphic to 2 circles). Note that this  
515 algorithm is not generic and can not be used for any set of surfels, but only for

the set of surfels we test during the simple point detection algorithm.

---

**Algorithm 2:** COLLAPSE( $S, L$ )

---

**Data:** set  $S$  of surfels incident to a voxel  $x$ ;  
 set  $L$  of linels incident to  $x$ .

**Result:** *true* iff  $S$  can be collapsed on  $L$ .

```

1 if ISDISK( $s(x, R)$ ) then
2   return  $L$  is homeomorphic to a segment;
3 return  $L$  is homeomorphic to a circle;

```

---

516

517 Algorithm 3 tests if a contact area  $c(x, R)$  is homeomorphic to a disk. For  
 518 that, it uses the remarks given in Sect. 2.2 about all the possible configurations.

---

**Algorithm 3:** ISDISK( $c(x, R) = (L, S)$ )

---

**Data:** contact area ( $c(x, R)$  between voxel  $x$  and region  $R$ .

**Result:** *true* iff ( $c(x, R)$  is homeomorphic to a disk.

```

1 if  $|S| = 0$  then return false;
2 if  $|S| = 6$  and  $|L| = 12$  then return false;
3  $s_1 \leftarrow$  one surfel in  $S$ ;
4 make a depth first search algorithm on  $S$  starting from  $s_1$ ;
5 if number of visited surfels  $\neq |S|$  or  $\exists l \in L, l$  is not incident to a surfel in  $S$  then
6   return false;
7  $s_2 \leftarrow$  one surfel not in  $S$ ;
8 make a depth first search algorithm on  $\bar{S}$  starting from  $s_2$ ;
9 if number of visited surfels  $\neq |\bar{S}|$  or  $\exists l \in \bar{L}, l$  is not incident to a surfel in  $\bar{S}$  then
10  return false;
11 return true;

```

---

519

520 Line 1 is the case if there is no surfel between  $x$  and  $R$ , and line 2 is the  
 521 contact area is homeomorphic to a sphere. In both cases, the algorithm returns

522 false. The next step (between lines 3 and 6) consists in testing if the contact  
523 area is connected. The last step (between lines 7 and 10) is the test if the  
524 complementary of the contact area is connected, to detect if the surface has an  
525 hole or not. In both cases, the test consists in a depth first search algorithm  
526 through the concerned set of surfels by passing only through linels of the given  
527 set of linels. The algorithm returns false if it has not visited all the surfels, or if  
528 a linel is not incident to the set of surfels. Last, we have tested all the possible  
529 configurations, and we are sure that  $c(x, R)$  is a non empty connected set of  
530 surfels not homeomorphic to a sphere and without hole: it is homeomorphic  
531 to a disk and the algorithm returns true.

532 Now by using these functions, Algo. 4 checks if a given voxel  $x$  is ML-simple  
for a region  $R$ .

---

**Algorithm 4:** Detection of ML-simple points

---

**Data:** intervoxel matrix;

voxel  $x \in X$ ;

region  $R$ .

**Result:** *true* iff  $x$  is an ML-simple point for  $R$ .

```

1 if not ISDISK( $c(x, R)$ ) then return false;
2 if not ISDISK( $c(x, X)$ ) then return false;
3 foreach region  $O \in N_6(x)$ ,  $O \neq X$ ,  $O \neq R$  do
4    $L_1 \leftarrow \{l \in \text{linels}(s(x, O)) \mid l \in \text{linels}(f(X, O) \setminus s(x, O))\}$ ;
5   if not COLLAPSE( $s(x, O), L_1$ ) then return false;
6    $L_2 \leftarrow \{l \in \text{linels}(s(x, O)) \mid l \in \text{linels}(f(R, O))\}$ ;
7   if not COLLAPSE( $s(x, O), L_2$ ) then return false;
8 return true;
```

---

533  
534 The two first tests of this algorithm correspond directly to the first conditions  
535 of Definition 3. For the last condition we have detailed the simple and add-  
536 simple notions.

537 First, to test if  $Y = s(x, O)$  is simple for  $Z = f(X, O)$ , we use the first proposi-  
538 tion recalled in Sect. 2: the complex  $Y$  is *simple* for  $X$  if and only if  $Y$  collapses  
539 onto  $Att(Y, Z)$ .  $Att(Y, Z)$  is the set of linels and pointels that are incident both  
540 to  $Y$  and to  $Z \otimes Y$ . We consider only linels since pointels can be retrieved from  
541 linels (in our case each complex is closed). Thus, we have to test if  $Y$  collapses  
542 onto the set of linels incident both to  $Y$  and to  $Z \otimes Y$ .

543 Second, to test if  $Y = s(x, O)$  is add-simple for  $Z = f(R, O)$ , we use the second  
544 proposition:  $Z \cup Y$  collapses onto  $Z$  if and only if  $Y$  collapse onto  $Z \cap Y$ . Since  $Z$   
545 and  $Y$  have no common surfels,  $Z \cap Y$  is the set of linels incident both to  $Y$  and  
546  $Z$  (plus the pointels incident to these linels).

547 Thus, the two cases of simple and add-simple can be tested using Algo. 2  
548 on the correct set of linels.

549 **Proposition 4.** *Given a voxel  $x$  and a region  $R$ , Algo. 4 returns true iff  $x$  is an*  
550 *ML-simple point.*

551 **PROOF.** The first two tests check conditions (1) and (2). We test if  $c(x, R)$  and  
552  $c(x, X)$  are homeomorphic to a disk by calling Algo. 3 on the set of surfels and  
553 linels respectively between  $x$  and  $R$ , and between  $x$  and  $X$ .

554 The last test checks condition (3): we use Algo. 2 that tests if a set of surfels  
555 can collapse on a set of linels. As explained above, the two tests are respectively  
556 equivalent to test if  $s(x, O)$  is simple for  $f(X, O)$ , and if  $s(x, O)$  is add-simple for  
557  $f(R, O)$ .

558 All the conditions of Definition 3 are satisfied,  $x$  is ML-simple and the  
559 algorithm returns true accordingly. □

560 First, the complexity of Algo. 2 is  $O(1)$ . There are 6 surfels in  $\text{surfels}(v)$  and  
561 12 linels in  $\text{linels}(v)$ , and the complexity of each step of the algorithm can be  
562 bounded by these two numbers. Second, the complexity of Algo. 3 is  $O(1)$  since  
563 the number of visited surfels in both depth first search algorithm is at most 6.  
564 Finally, the complexity of Algo. 4 is  $O(1)$ : to compute the set of linels  $L_1$ , we  
565 test the 12 linels incident to  $v$ , and for each linel  $l$ , we verify if  $l$  satisfies the

566 other conditions:  $l$  is incident to a surfel incident to region  $O$ , and  $l$  is incident  
567 to a surfel between regions  $X$  and  $O$  that is not incident to  $v$ . These tests can be  
568 achieved in constant time using two matrices, one of voxel and one of intervoxel  
569 elements. The same principle is used to compute the second set of linels  $L_2$ .  
570 Thus, the computation of the two sets can be achieved by a constant number  
571 of operations, and testing if  $L$  is homeomorphic to a segment or to a circle can  
572 also be achieved by a constant number of operations.

#### 573 4. Deformable Model Process

574 We developed a digital deformable partition model based on the definition  
575 of ML-simple points. The geometry of the partition is encoded by an inter-  
576 voxel matrix and deformations are carried out by flipping ML-simple voxels.  
577 Proposition 3 ensures that the topology of the partition is preserved. The de-  
578 formation is guided by an energy-minimizing process. In this work, the energy  
579 has a simple definition to show the feasibility of a deformable partition model  
580 based on ML-simple voxels flips.

581 The energy of a partition is defined as the sum of the energies of each digital  
582 surface  $S$  between pairs of regions  $(r_1, r_2)$ . The energy of a surface  $S$  is the  
583 weighted sum of  $E_r$ , a region based energy, and  $E_s$  an area based energy.

584 Energy  $E_r$  is an energy describing the quality of the fit of regions to image  
585 data. Energy  $E_r$  is the sum of the *Mean Squared Error* (MSE) of  $r_1$  and  $r_2$ : as the  
586 region becomes more homogeneous, the value of  $E_r(S)$  decreases.

587 Energy  $E_s$  is based on a discrete area estimator proposed in (Lachaud and  
588 Vialard, 2003) that gives an estimation of the area of one surfel  $s$  in the digital  
589 surface represented by the set of surfels containing  $s$ . As the set of surfels  
590 changes depending on the surface side, the area estimation for a surfel also  
591 depends on the surface side. The energy of a surfel is defined as the sum of the  
592 estimated area of  $s$  from the side of  $r_1$  and the estimated area from the side of  $r_2$ .  
593 Energy  $E_s$  is the sum of the energy of each surfel of  $S$ : as the surface becomes  
594 smoother, the value of  $E_s$  decreases.



595 The deformation process of a surface follows a greedy optimization algo-  
596 rithm. The initial energy of the surface is first computed. Then, for each surfel  
597 of the surface, the process temporary flips ML-simple voxels adjacent to the  
598 surfel and computes the resulting energy. Last, the flip that most reduces the  
599 energy is definitively applied.

600 The deformation algorithm is executed on every border faces of the par-  
601 tition. The process iterates until a local minimum energy is reached (*i.e.* no  
602 deformation occurs). The deformation process always stops since a finite num-  
603 ber of surfels is processed and since flips are only applied if the global energy  
604 strictly decreases.

## 605 5. Experiments

606 We present two sets of experiments. First, we run two experiments that  
607 highlight the advantage of the discrete area estimator over the number of surfel  
608 as energy for regularization. Second, two examples of a deformation process  
609 in a multi-label partition are proposed.

610 In the first set of experiments, we use a deformation process that is governed  
611 by the minimization of its estimated area. As input data, we provide noisy  
612 versions of either a slanted plane or a sphere. A good regularizer should smooth  
613 these data into a perfect plane or a perfect sphere. Two different regularizing  
614 energies are compared: one using the number of surfels (NS) and one using the  
615 discrete area estimator (DAE).

616 To experiment the process, test images are generated that contains two re-  
617 gions separated by one face. In the first experiment, this face is a discrete plane,  
618 and in the second experiment it is a discrete sphere. Noise is added to the  
619 discrete surface using many random flip operations. Then, the deformation  
620 process minimizes the estimated area using the NS or the DAE methods. This  
621 process smooths the surface, and thus removes some of the noise. We mea-  
622 sure the resulting surface area and compare it with the theoretical value. The  
623 measured values are reported into the following tables.

Table 1: Smoothing of a noisy plane surface

Size	Theoretical	NS	DAE
$10 \times 10$	141,42	126,73	127,42
$15 \times 15$	318,20	296,13	296,80
$20 \times 20$	565,69	536,25	536,25
$25 \times 25$	883,88	847,07	848,36
$30 \times 30$	1272,79	1228,88	1229,74

624 Table 1 presents the results of the deformation with such energies on a  
625 noisy slanted plane. We increase the plane size to observe differences between  
626 the two energies. In this configuration the two energies give approximately  
627 the same results. The accuracy of both estimated area depends on the angles  
628 formed by the plane with the the three mutually perpendicular planes of the  
629 orthonormal basis. During the smoothing, the deformation process is stopped  
630 in a local minimum where there is no more ML-simple points that minimize the  
631 NS or the DAE energies. Since the resulting plane are roughly similar, there is  
632 no advantage of the DAE based deformation over the NS based one. In fact, in  
633 this case, the noise perturbrates the plane with voxels that induce local change  
634 of orthants. That kind of perturbation is also removed by an NS energy.

635 In the second experiment, presented in Table 2, the same energies are used  
636 to smooth noisy spheres of different radii. The deformation based on the  
637 minimization of the DAE energy gives a more accurate result with respect to  
638 the theoretical value. Actually, the deformation minimizing the number of  
639 surfels tends to produce a discrete sphere that has an increased radius: the  
640 smoothed surface is larger. In this configuration, the DAE based deformation  
641 produces a better result than the NS based one. But, as in the first experiment,  
642 both deformations reach a local minimum.

643 The second sets of experiments consists in optimizing an initial partition  
644 which contains several regions. The objective is to enhance this initial segmen-  
645 tation with respect to image and area based energies (see Sect. 4).

Table 2: Smoothing of a noisy sphere.

Radius	Theoretical	NS	DAE
5	314,15	456,56	456,56
8	804,24	788,77	737,42
10	1256,63	1800,43	1206,25
12	1809,55	2409,28	1945,09
15	2827,43	3805,52	2768,96

646 The third experiment shows a segmentation of a 3D medical image with a  
647 poor initialization, in a way similar to continuous deformable partition models  
648 (Vese and Chan, 2002). Starting with a topologically correct segmentation of the  
649 image, the deformation process is used to retrieve shapes in the image while  
650 keeping topological information. The algorithm is applied on a simulated MRI  
651 brain image obtained from (Cocosco et al., 1997). The result proposed in this  
652 paper is a generalized version of the second experiment found in (Dupas et al.,  
653 2009). According to a prior knowledge the image is composed of five regions  
654 that are intertwined as displayed on Fig. 4c. In this configuration, there is no  
655 intersection between the partition boundaries. Figure 4a shows a slice of the  
656 original image, the initial partition on the same slice is presented Fig. 4b and  
657 the optimized segmentation is shown in Fig. 4d). The algorithm ensures that  
658 the topology of the optimized segmentation is the same as the topology of the  
659 initial partition of the image. The resulting partition is not fully satisfactory,  
660 but this is mainly due to the chosen energies, which are very rudimentary. This  
661 will be addressed in future works.

662 The fourth experiment presents the deformation of a multi-label partition  
663 that contains surface intersections. The initial partition is produced by an  
664 existing algorithm (Dupas and Damiand, 2008) which is supposed to be topo-  
665 logically correct but represents a poor result with respect to the partition global  
666 energy. The deformation slightly modifies surfaces of the image to obtain a bet-  
667 ter result. Figure 5a and Fig. 5b present a slice of the partition before and after

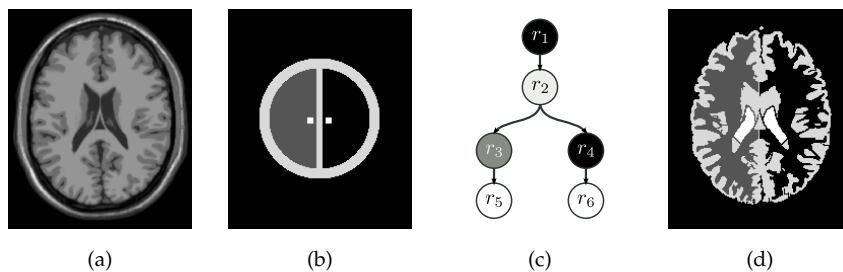


Figure 4: Optimization of an existing partition without intersection of boundary surfaces ensuring that the topology of the partition is preserved. (a) Slice of a simulated MRI brain image. (b) Initial partition with five regions. (c) Imbrication tree of the five regions. (d) Resulting segmentation after deformation.

668 the deformation processes. Borders of regions match more accurately image  
 669 data. Figure 5c shows a piece of the partition produced by a deformation algo-  
 670 rithm that flips only rML-simple points. Figure 5d presents the same piece of  
 671 the partition but produced by the deformation algorithm using the ML-simple  
 672 point definition. The surface intersections are moved in Fig. 5d. This allows  
 673 to obtain a partition with a smaller energy. With this experiment, we show the  
 674 interest of the definition of ML-simple points over rML-simple points to obtain  
 675 a partition with a smaller energy.

## 676 6. Conclusion

677 The main contributions of this work are: (i) The definition of ML-simple  
 678 points: a voxel is ML-simple if its removal preserves the topology of the par-  
 679 tition. The ML-simple test algorithm is local, short and easy to implement.  
 680 (ii) Our method is generic: regions and surfaces information can be mixed  
 681 to define energies specialized for various applications. (iii) Our work deals  
 682 with arbitrary multi-label image partitions: we can deform any number of  
 683 surfaces while preserving their topology. The overall computational complex-  
 684 ity depends on the number of surfels of the partition, not on its topological  
 685 complexity. These interests have been illustrated in several preliminary ex-

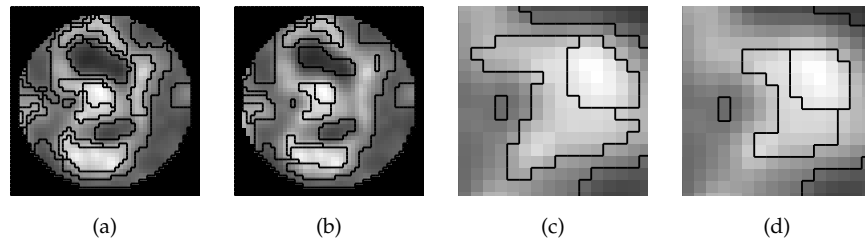


Figure 5: Optimization of an initial segmentation with intersection of boundary surfaces by minimizing the partition energy. The topology of the partition is preserved during the deformation process. (a) Slice of the initial segmentation. (b) Same slice after deformation. (c) Zoom on the partition produced by a deformation that flips only rML-simple points. (d) Zoom on the partition produced by a deformation that flips ML-simple points. The energy of this partition is smaller than the energy measured for (c).

686 periments. We may either deform an initial set of arbitrary surfaces like the  
 687 example of included spheres that fit a brain image, or smooth an initial partition  
 688 obtained from a preliminary segmentation.

689 In future works, we plan to improve the energies used in the deformable  
 690 model to have a better fit with the image data. The discrete area estimator  
 691 could also be improved, first by making it linear-time in the same way as the  
 692 2D case, second by making it dynamic to avoid global recomputation. This  
 693 would allow the processing of big 3D images. Another research track is to find  
 694 an area estimator with less local minimum, in a way similar to (de Vieilleville  
 695 and Lachaud, 2009) in 2D.

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